

JUN. 13. 2007 6:03PM

MHK LAW 1 202 543 6406

IAP12 Rec'd PCT/PTO 13 JUN 2007

NO. 2329 P. 1

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ABOVE BY FAXING THIS PAGE BACK TO (202) 543-6406**

Inventor(s): Barrett et al

Appln. No.: 10/581,123

International Appln. No. PCT/US04/39936

Atty. Docket No.: 2559-0433

Title: Control of a Waterjet Propelled Vessel

Date: June 13, 2007

Name of paper being transmitted: . National Stage Completion and Assignment

Message:

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office at the above fax number on the date shown below.

Name: Timothy J. Klima

Sig: 

Date: June 13, 2007

Harbin King & Klima
500 Ninth Street, SE
Washington, DC 20003
(202) 543-6404 Phone
(202) 543-6406 Fax

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

COMPLETION
For PCT Cases Only

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In re PATENT APPLICATION of:

Inventor(s): Barrett et al

Appln. No.: 10/581,123

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Atty. Docket No.: 2559-0433

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Date: June 13, 2007

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

To:
Mail Stop PCT
Hon. Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration ☐ Original ☒ Facsimile/Copy ☐ with specs/claims attached
3. ☐ Translation of the International Application into English including:
 - a. ☐ Request;
 - b. ☐ Abstract
 - c. _____ pgs. Spec. and Claims
 - d. ☐ Translation verification
 - e. _____ sheets Drawing which are: ☐ Informal; ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of International Search Report (ISR) attached (_____ page(s))
 - a. plus Annex of family members (_____ page(s))
5. ☐ Information Disclosure Statement including
 - a. ☐ Form PTO-1449 listing documents
 - b. ☐ Copies of document(s) listed on Form PTO-1449
 - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☒ Assignment and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. ☐ Small Entity Status claimed
9. ☐ Formal Drawings: _____ sheet(s) ☐ Informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))
11. ☒ Attached: Power of Attorney

page 1 of 2

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12. ☐ Preliminary Amendment Attached:13. ☒ Basic U.S. National fee per rule 492(a)(1)-(4) was previously timely filed.

14. Calculation of remaining fees due (if any): based on amended claim(s) per above item

☐ 12 (above) or items(s) in PAT-112 (filed previously)☐ 12 ☐ 14 ☐ 17 ☐ 2515. CLAIMS FEES ☒ previously paid ☐ paid herewith as follows:15A. Small Entity Statement ☐ herewith ☐ previously filed

				Large Entity/Small Entity	Amount
16. Total Effective Claims		Minus 20 =		X \$50/\$25	+0
17. Independent Claims		Minus 3 =		X \$200/\$100	+0
18. If any proper multiple dependent claim (ignore improper) is present				\$360/\$180	+0
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input type="checkbox"/> now				\$130/\$65	+130
20.				SUBTOTAL =	\$130
21. Original due date: April 13, 2007					
22. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached				(1 mo) \$120 / \$60 =	+450
				(2 mos) \$450 / \$225 =	
				(3 mos) \$1020 / \$510 =	
				(4 mos) \$1590 / \$795 =	
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0
24. If "assignment" box 6 is X'd, add recording fee				\$40	+40
25.				Total Fee Enclosed:	\$620

26. ☒ Please charge the total fee to our deposit account below under the stated order no.:

Our Deposit Account No.: 50-3272

Our Order No. 2559-0433

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless and issue fee transmittal form is filed.

Harbin King & Klima
500 Ninth Street, SE
Washington, DC 20003
Main: (202) 543-6404
Fax: (202) 543-6406

By Atty.: Timothy J. Klima

Reg. No.: 34,852

Sig: 

06/18/2007 GFREY1 00000121 503272 10581123

NOTE: File in duplicate with PTO receipt & attachments

01 FC:1617 130.00 DA
02 FC:1252 450.00 DA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

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In re PATENT APPLICATION of:

Inventor(s): Barrett et al

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Date: June 13, 2007

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

To:
Mail Stop PCT
Hon. Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following **completes the filing** of the subject application under Rule 494(c)/495(c). Please accept the following **attached** items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration ☐ Original ☒ Facsimile/Copy ☐ with specs/claims attached
3. ☐ Translation of the International Application into English including:
 - a. ☐ Request;
 - b. ☐ Abstract
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Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/581,123	Andrew F. Barrett	2559-0433
INTERNATIONAL APPLICATION NO.		
PCT/US04/39936		
1A. FILING DATE		PRIORITY DATE
12/01/2004		12/01/2003

Harbin King & Klima
500 Ninth Street SE
Washington, DC 20003

CONFIRMATION NO. 1759

371 FORMALITIES LETTER



OC00000022444345

Date Mailed: 02/13/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/31/2006
- Copy of the International Search Report filed on 05/31/2006
- Preliminary Amendments filed on 05/31/2006
- Information Disclosure Statements filed on 05/31/2006
- Request for Immediate Examination filed on 05/31/2006
- U.S. Basic National Fees filed on 05/31/2006
- Priority Documents filed on 05/31/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

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ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

CHRISTINE S WASHINGTON

Telephone: (703) 308-9140 EXT 228

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/581,123	PCT/US04/39936	2559-0433

FORM PCT/DO/EO/905 (371 Formalities Notice)